



Intro to Alignment Algorithms:

Global and Local



Sequence Comparison

Biomolecular sequences

- DNA sequences (string over 4 letter alphabet {A, C, G, T})
- RNA sequences (string over 4 letter alphabet {ACGU})
- Protein sequences (string over 20 letter alphabet {Amino Acids})

Sequence similarity helps in the discovery of genes, and the prediction of structure and function of proteins.



The Basic Similarity Analysis Algorithm

Global Similarity

- Scoring Schemes
- Edit Graphs
- Alignment = Path in the Edit Graph
- The Principle of Optimality
- The Dynamic Programming Algorithm
- The Traceback



Sequence Alignment

Input: two sequences over the same alphabet

Output: an alignment of the two sequences

Example:

- **GCGCATTGAGCGA**
- **TGCGTTAGGGTGACCA**

A possible alignment:





Consider two sequences

$$X = x_1 x_2 \dots x_n$$

x_i, y_j belong to Σ

$$Y = y_1 y_2 \dots y_m$$

Over the alphabet

$$\Sigma = \{A, C, G, T\}$$



Scoring Schemes

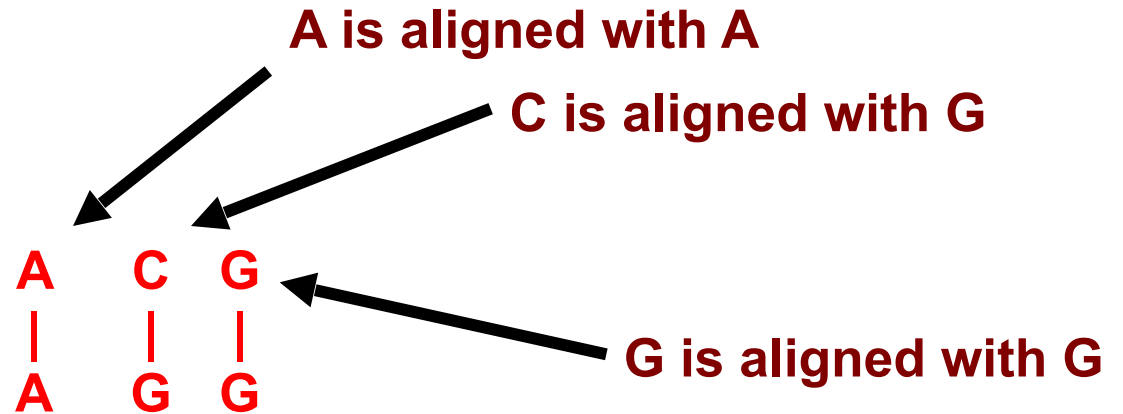
Unit-score

δ	A	C	G	T	-
A	1	0	0	0	0
C	0	1	0	0	0
G	0	0	1	0	0
T	0	0	0	1	0
-	0	0	0	0	0



Alignment

ACG
| | |
AGG



Unit-cost

$$\begin{aligned}
 \delta & & \delta & & \delta \\
 \text{Score} = & & (A,A) & + & (C,G) & + & (G,G) \\
 = & & 1 & + & 0 & + & 1 = 2
 \end{aligned}$$



Gaps

“ - ”

is the gap symbol

ACATGGAAT
ACAGGAAAT

ACAT GG - AAT
ACA - GG AAAT

SCORE

7

8

OPTIMAL
ALIGNMENTS

AAAGGG
GGGAAA

- - - AAAGGG
GGGAAA - - -

SCORE

0

3



δ

(x,y) = the score for aligning x with y

δ

$(x,-)$ = the score for aligning x with $-$

δ

$(-,y)$ = the score for aligning $-$ with y



Alignment

A-CG - G
ATCGTG

Score

$$\delta_{(A,A)} + \delta_{(-,T)} + \delta_{(C,C)} + \delta_{(G,G)} + \delta_{(-,T)} + \delta_{(G,G)}$$

**THE SUM OF THE SCORES OF THE PAIRWISE
ALIGNED SYMBOLS**



Scoring Scheme

Dayhoff score

δ

	-	A	R	N	D	C	Q	E	G	H	I	L	K	M	F	P	S	T	W	Y	V
A	-8	-8	-8	-8	-8	-8	-8	-8	-8	-8	-8	-8	-8	-8	-8	-8	-8	-8	-8	-8	-8
R	-8	3	-3	0	0	-3	-1	0	1	-3	-1	-3	-2	-2	-4	1	1	1	-7	-4	0
N			6																		
D			4																		

PTIPLSRLFDNAMLRAHRLHQ
SAIENQRLFNIAVSRVQHLHL

Partial alignment for Monkey and Trout somatotropin proteins



Scoring Functions

Mutations= Substitutions, Insertions, Deletions

Scoring function = a sum of a terms each for a pair of aligned residues, and for each gap

The meaning = log of the relative likelihood that the sequences are related, compared to being unrelated

Identities and conservative substitutions are **Positive terms**

Non-conservative substitutions are **Negative terms**

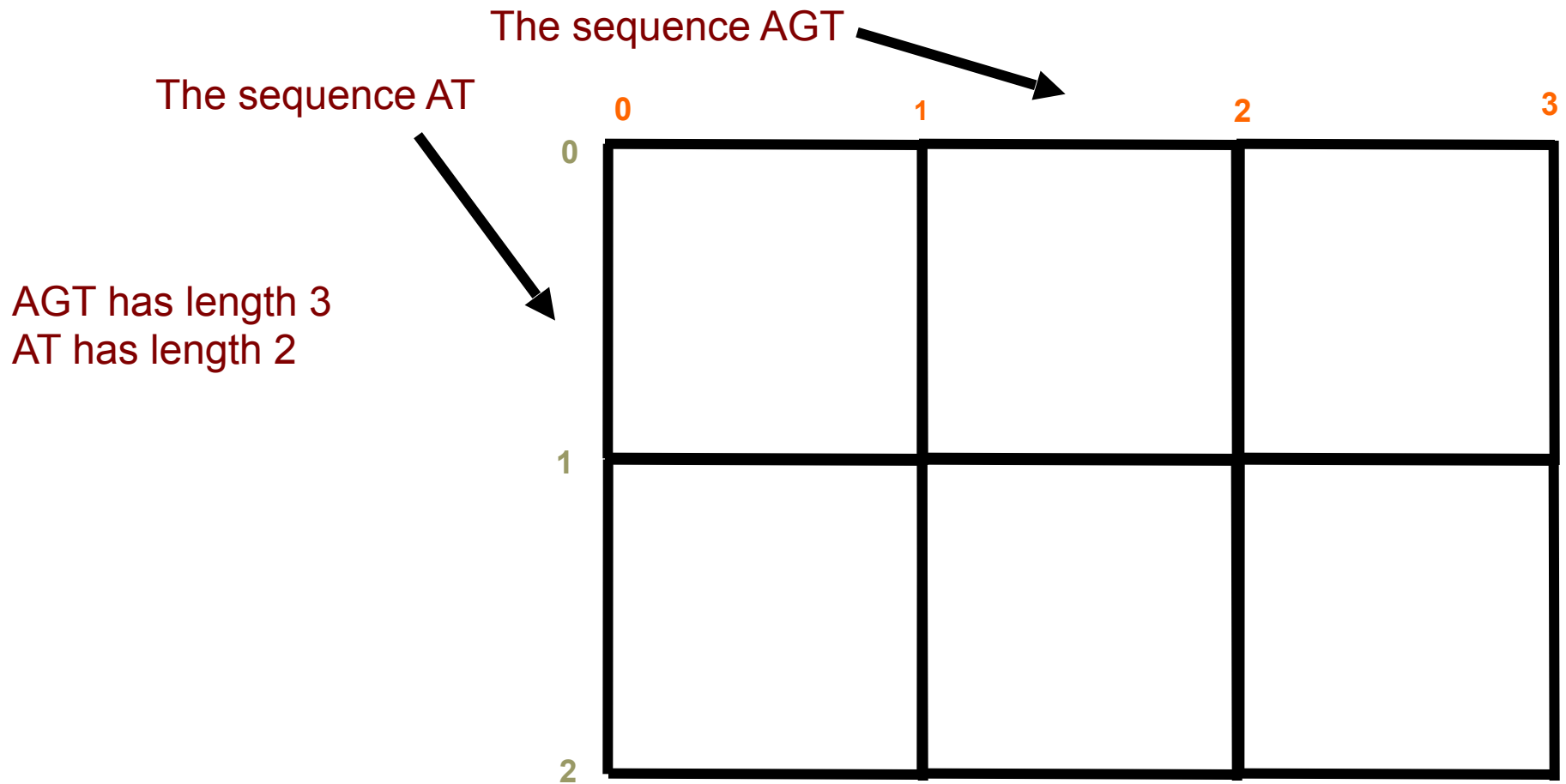


The Edit Graph

Suppose that we want to align AGT with AT

We are going to construct a graph where alignments between the two sequences correspond to paths between the begin and end nodes of the graph.

This is the Edit Graph

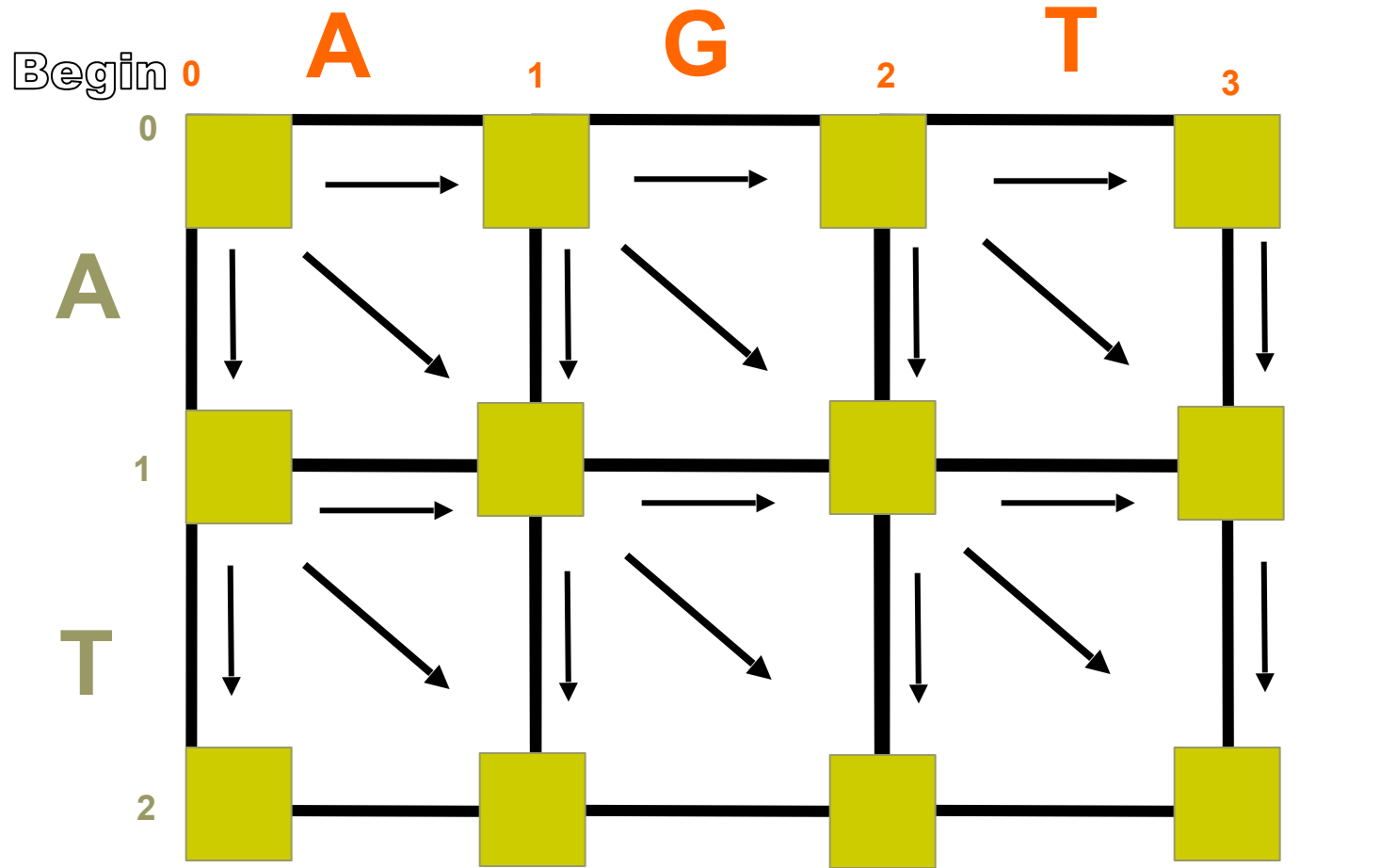


The Edit graph has $(3+1)*(2+1)$ nodes



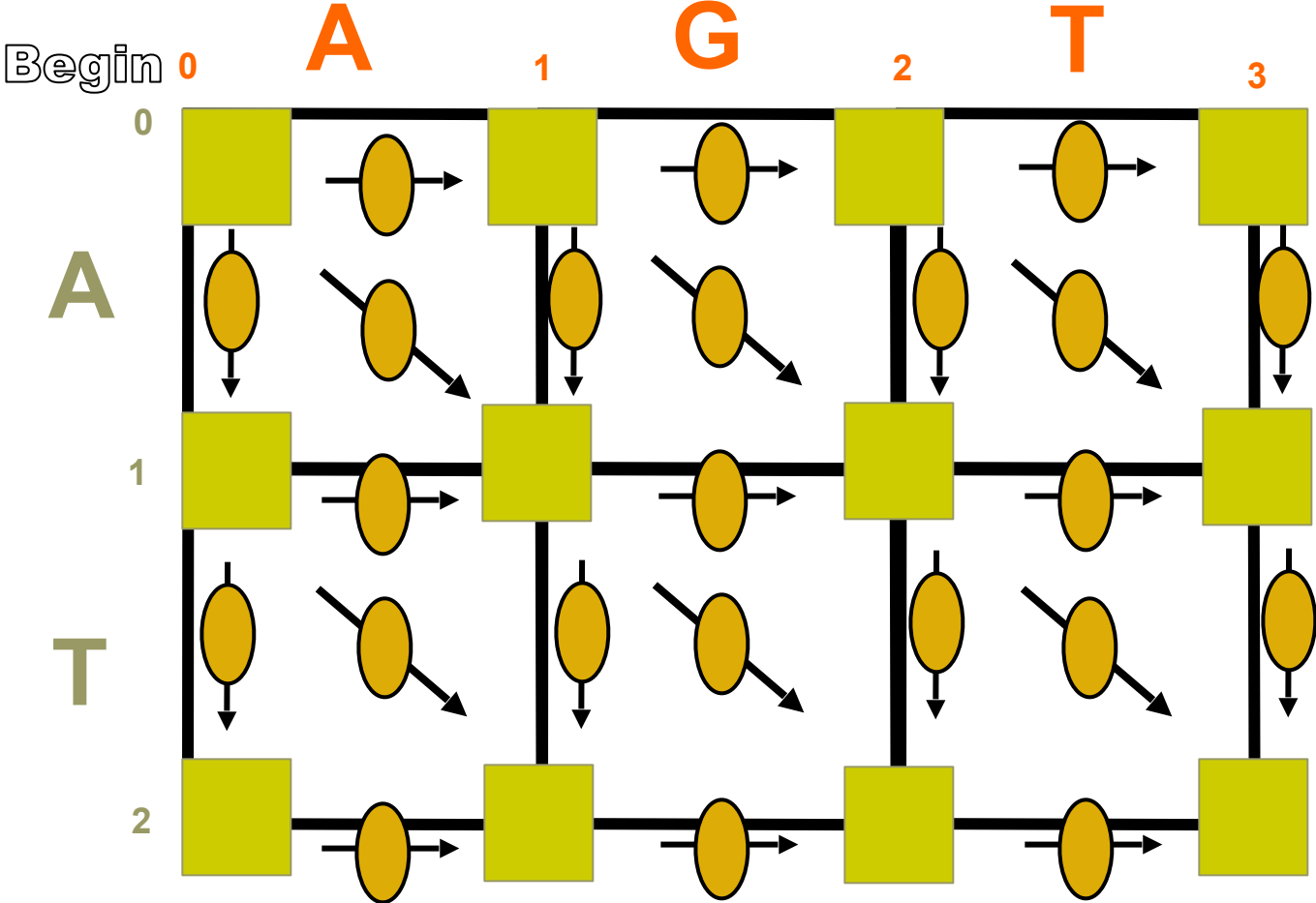
Begin	0	A	1	G	2	T	3
0							
A							
1							
T							
2							
							End

AGT indexes the columns, and AT indexes the rows of this “table”



The Graph is directed. The nodes (i,j) will hold values.

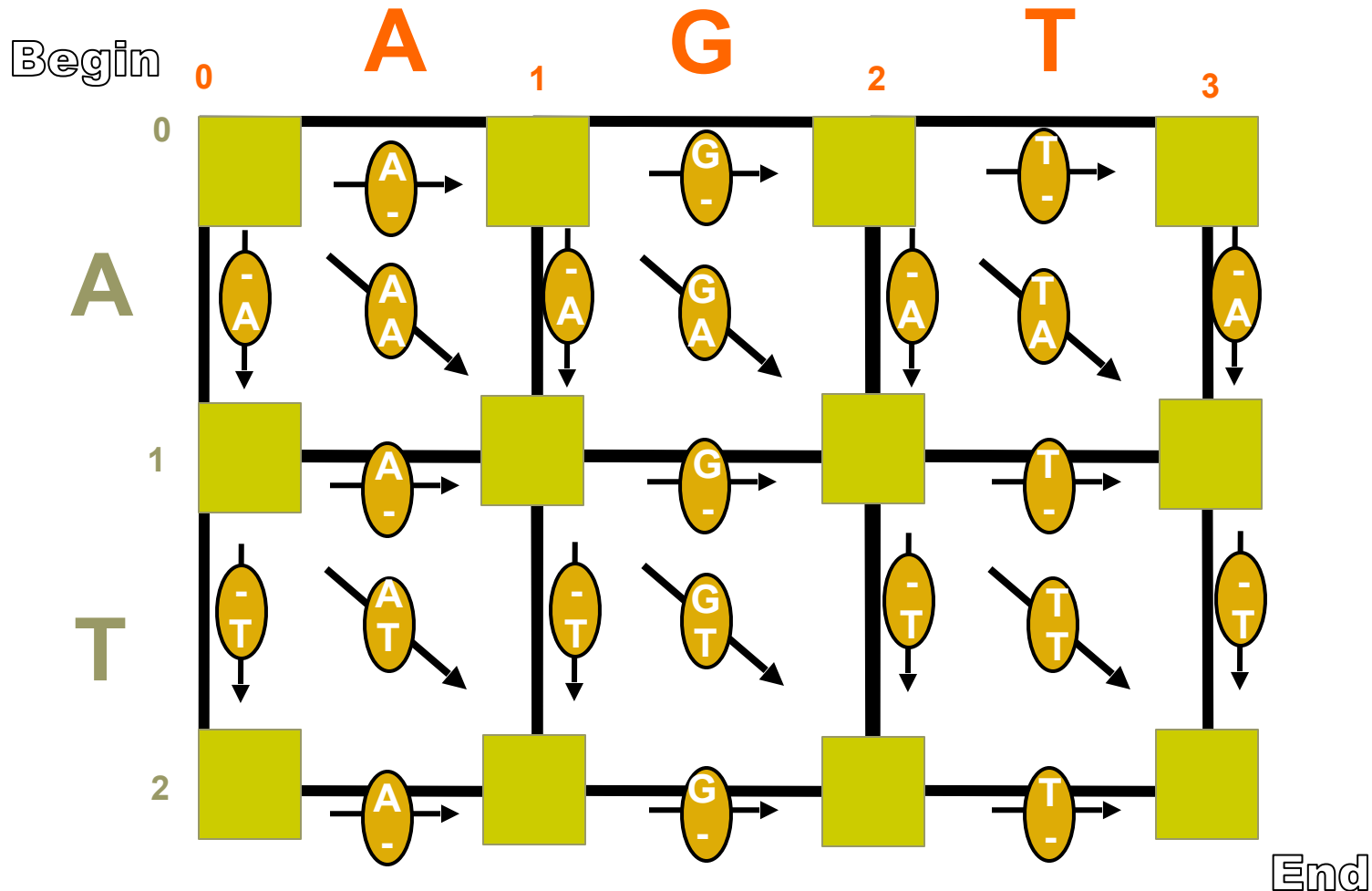
End



End



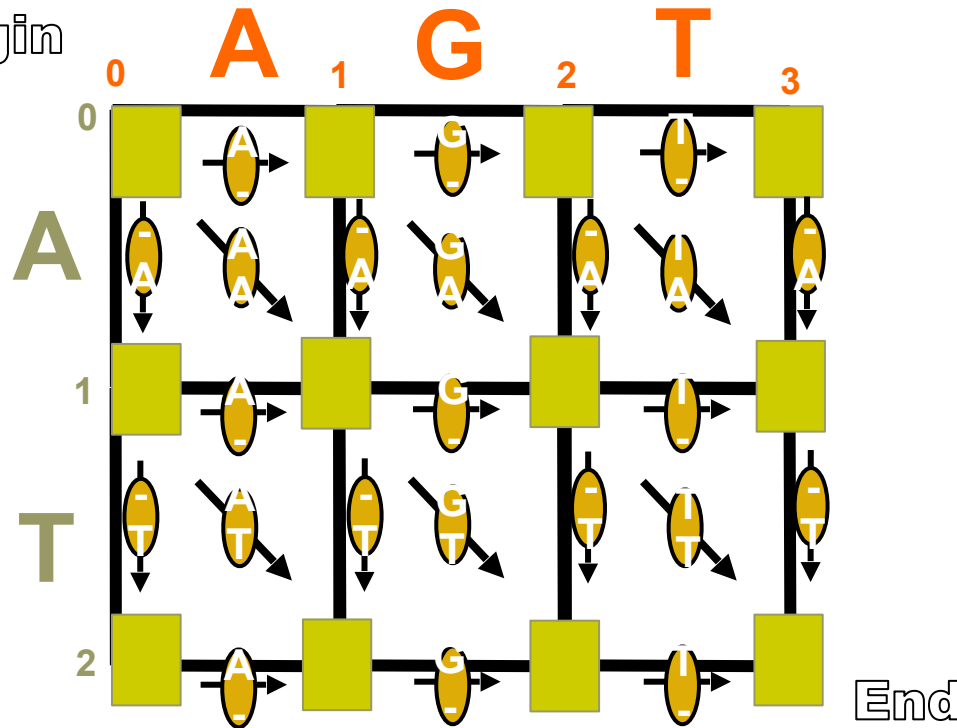
Directed edges get as labels pairs of aligned letters.



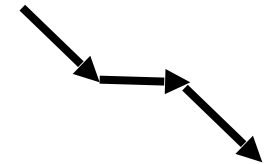


Alignment = Path in the Edit Graph

Begin



AGT
A-T



Every path from Begin to End corresponds to an alignment

Every alignment corresponds to a path between Begin and End



The Principle of Optimality

**The optimal answer to a problem
is expressed in terms of optimal
answer for its sub-problems**



Dynamic Programming

Given: Two sequences X and Y

Find: An optimal alignment of X with Y

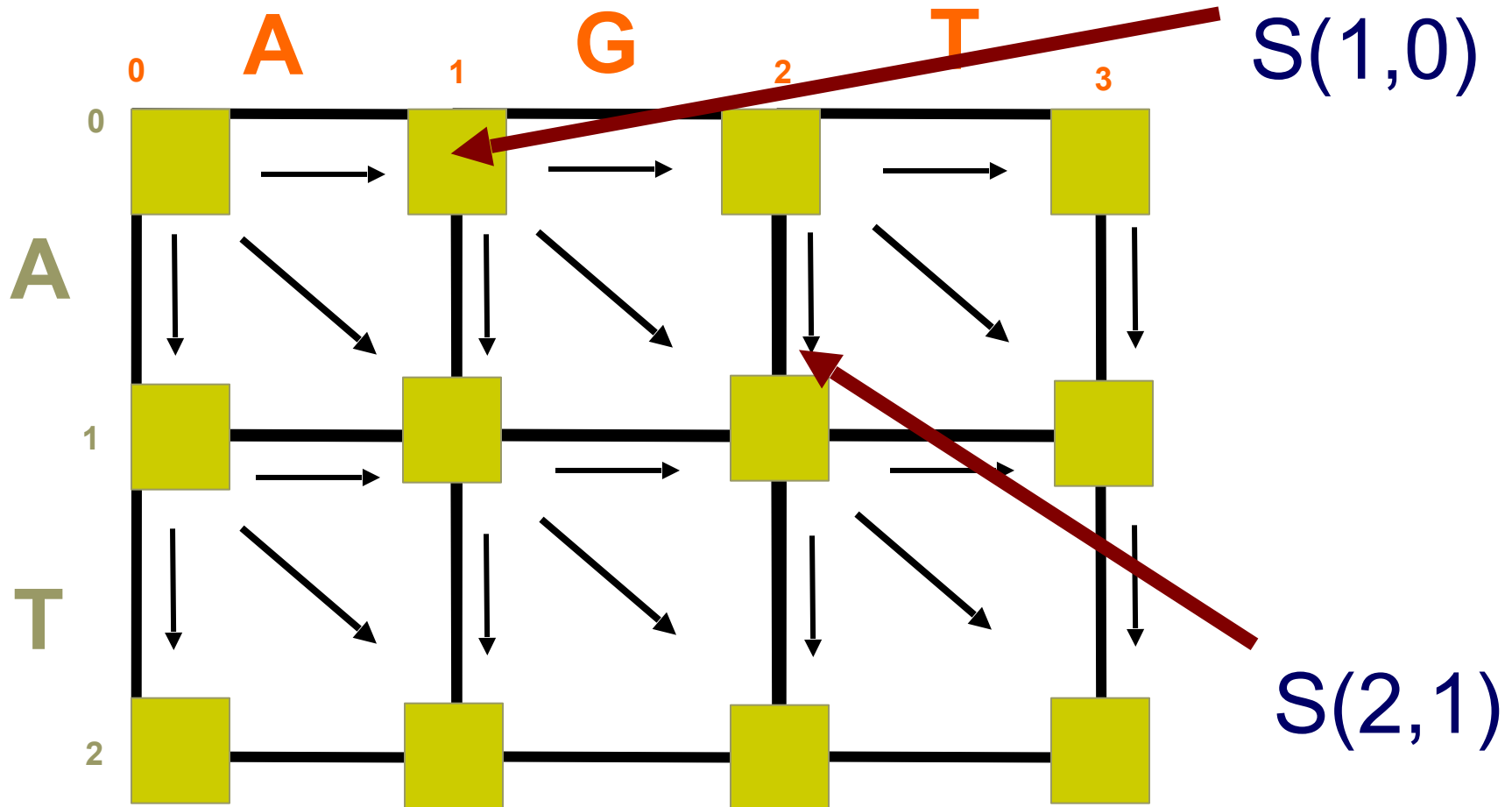
Part 1: Compute first the optimal alignment score

Part 2: Construct optimal alignment

**We are looking for the optimal alignment =
maximal score path in the Edit Graph from
the Begin vertex to the End vertex**



The DP Matrix $S(i,j)$

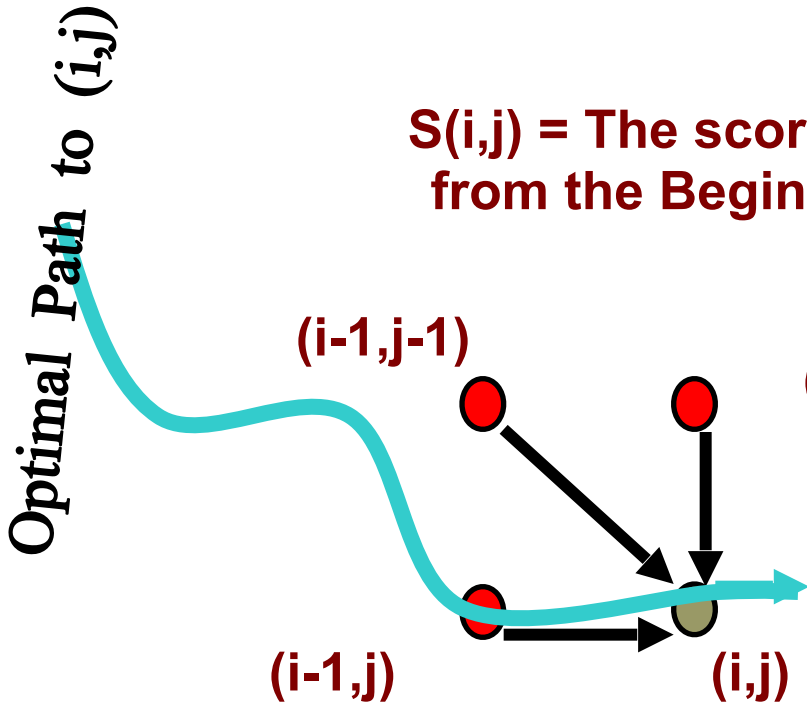




The DP Matrix

Matrix $S = [S(i,j)]$

$S(i,j)$ = The score of the maximal cost path from the Begin Vertex and the vertex (i,j)

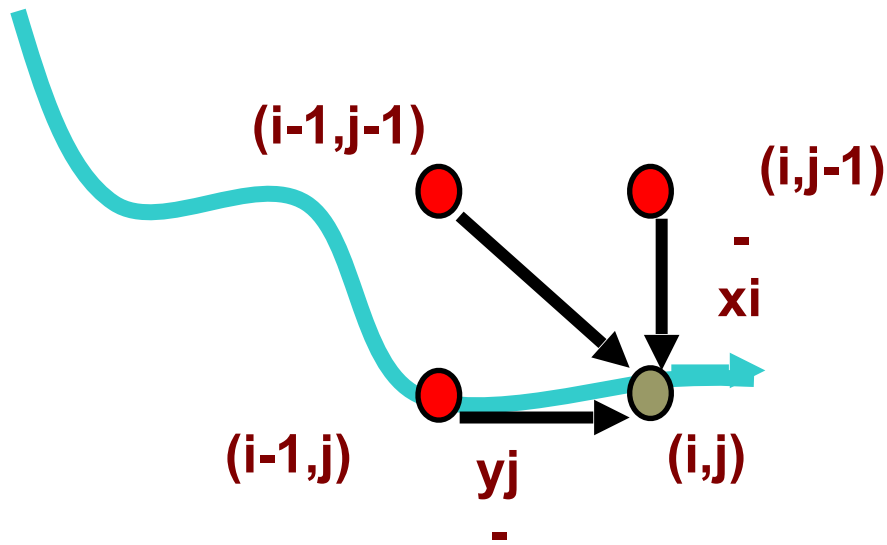


The optimal path to (i,j) must pass through one of the vertices

- $(i-1,j)$
- $(i,j-1)$
- $(i-1,j-1)$



Opt path

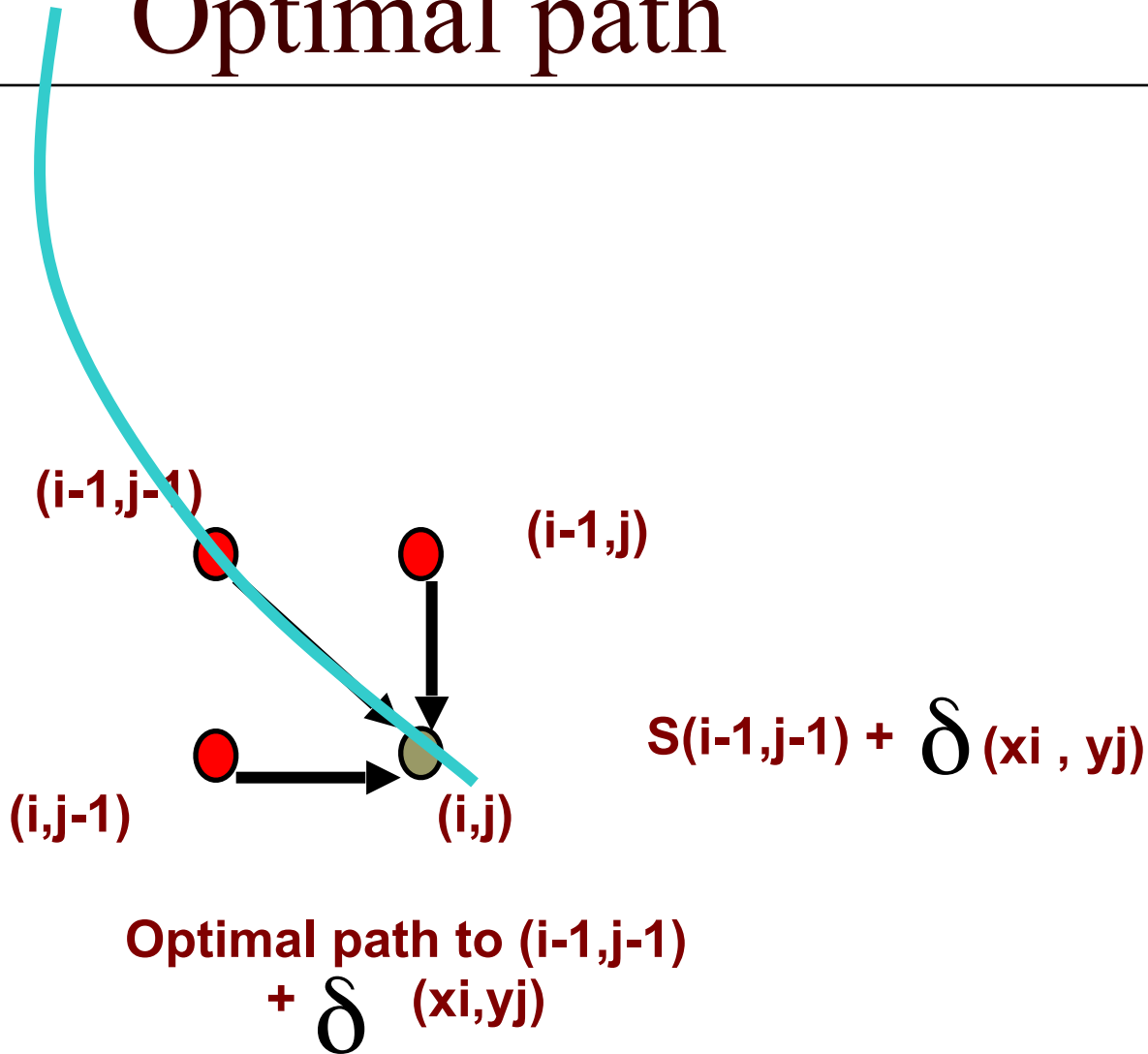


$$S(i-1,j) + \delta(-, yj)$$

Optimal path to (i-1,j) + $\delta(-, yj)$

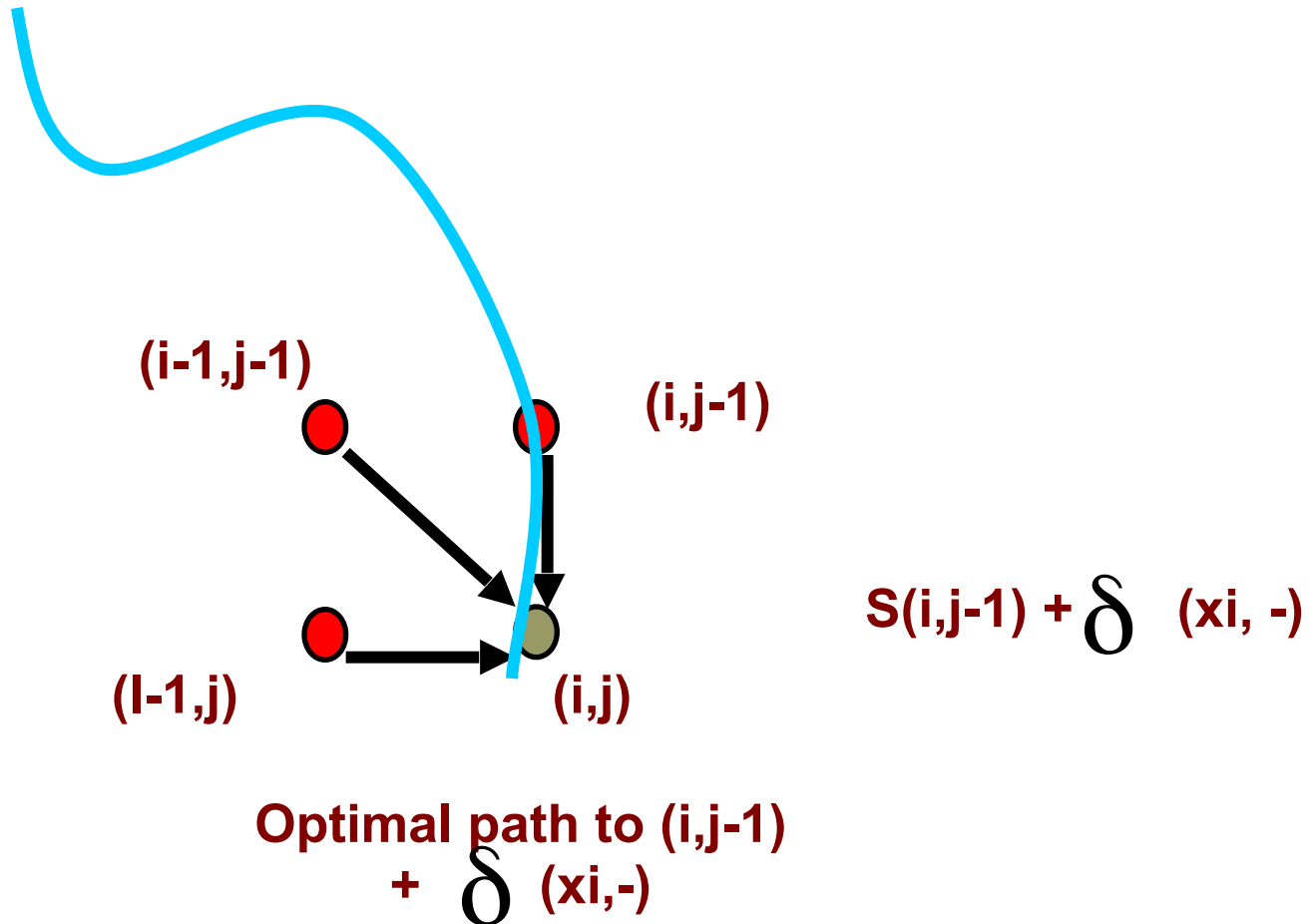


Optimal path





Optimal path





The Basic ALGORITHM

$S(i,j) = \text{MAX}$

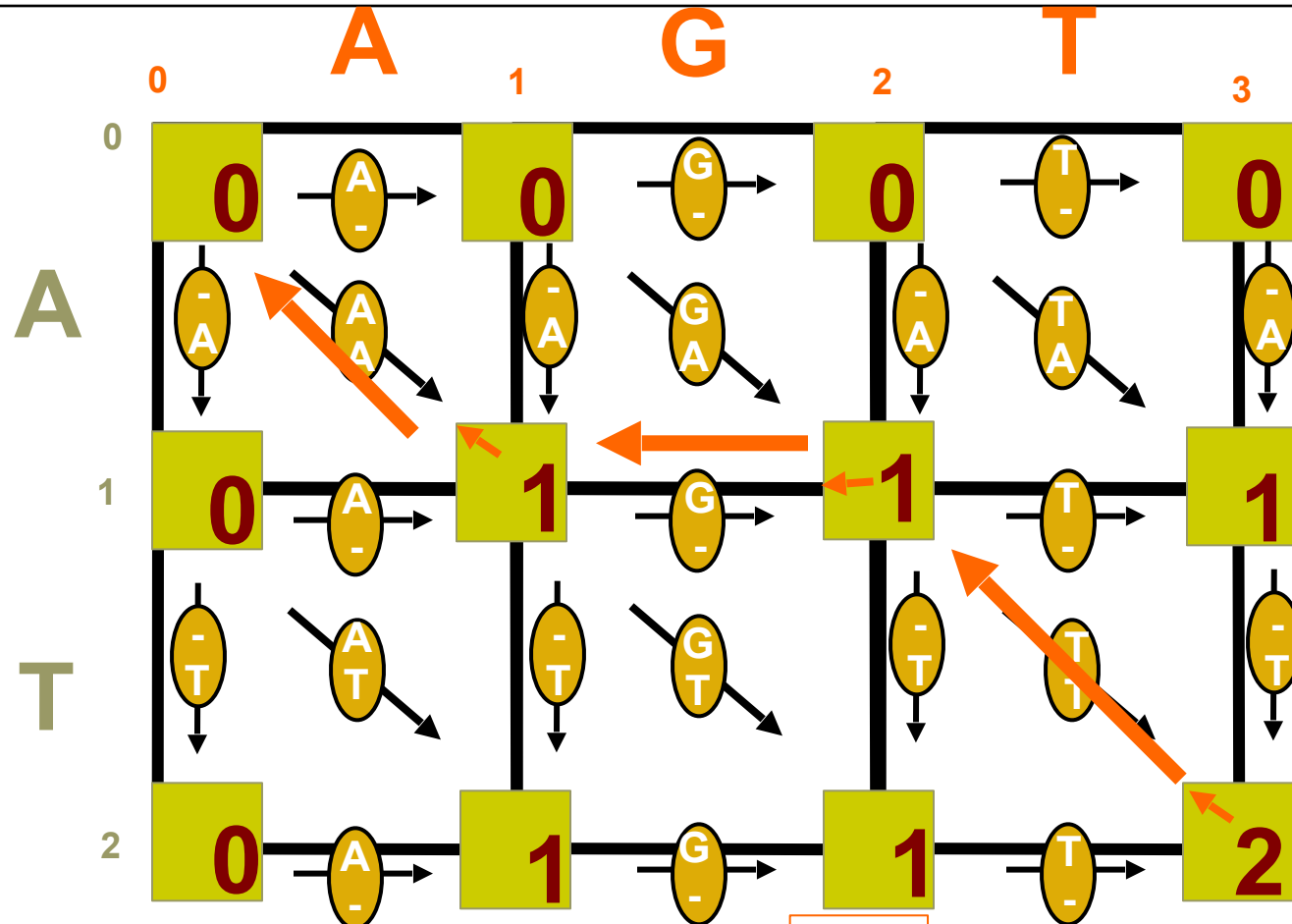
$$S(i-1, j-1) + \delta(x_i, y_j)$$

$$S(i-1, j) + \delta(x_i, -)$$

$$S(i, j-1) + \delta(-, y_j)$$



Optimal Alignment and Traceback



Optimal Alignment

AGT
A - T



The Basic ALGORITHM: Local Similarity

$$S(i,j) = \text{MAX} \left\{ \begin{array}{l} 0, \\ S(i-1, j-1) + \delta(x_i, y_j), \\ S(i-1, j) + \delta(x_i, -), \\ S(i, j-1) + \delta(-, y_j) \end{array} \right.$$

We add this



General Scoring Schemes

Assumptions

1. Independence of mutations at different sites

Additive scoring scheme

2. Gaps of any length are considered one mutation

All of the **efficient** alignment algorithms -- employing on the dynamic programming method --are based fundamentally on the of the fact that the scoring function is additive.